

# *Bounded type Siegel disks of finite type maps with few singular values*

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joint with  
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Expand  $\theta$  as a continued fraction  $1/(a_1 + 1/(a_2 \dots, a_n \in \mathbb{N}^*$ . Recall that  $\theta$  has **bounded type** means “ $\theta \notin \mathbb{Q}$  and  $(a_n)$  is bounded”.

## *Finite type maps*

### *Definition (A. Epstein)*

Given a Riemann surfaces  $X$ ,  $Y$  with  $Y$  compact, a **finite-type** map is a holomorphic map  $f : X \rightarrow Y$  which is open, whose set of singular values  $\text{Sing } f$  is finite, and which has no removable isolated singularity.

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The horn maps (a.k.a. parabolic renormalization) of a parabolic point of a finite type map are also finite type maps.

Many theorems of Fatou, Julia concerning rational maps still hold for finite type maps, and Sullivan's non-wandering theorem too.

## *Reminder*

If a fixed point of a holomorphic map has multiplier  $e^{2\pi i\theta}$  with  $\theta$  of bounded type then the fixed point has a Siegel disk.

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In fact it is true when  $\theta$  is in the bigger class of *Brjuno numbers*.

## Main theorem

### Theorem (Chéritat, Epstein)

Let  $Y = \widehat{\mathbb{C}}$ ,  $X = U \subset \widehat{\mathbb{C}}$ ,  $f : U \rightarrow \widehat{\mathbb{C}}$  a finite-type map with

- a.  $\text{Sing } f \subset \{a, b, c\}$  for some distinct  $a, b, c \in \widehat{\mathbb{C}}$
- b.  $a \in U$ , is fixed with multiplier  $e^{2\pi i\theta}$  and  $\theta$  has bounded type
- c. either  $c \in \widehat{\mathbb{C}} - U$  or  $f(c) = c$ .

Consider an injective path  $\gamma$  from  $a$  to  $b$  in  $\widehat{\mathbb{C}} - \{a, b, c\}$ . Consider its unique lift  $\tilde{\gamma}$  by  $f$  starting from  $a$ . Then either

1.  $\tilde{\gamma}$  ends on a non-critical point in  $U$  and then  $U = \widehat{\mathbb{C}}$  and  $f$  is a homography,
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## *Context*

Boundaries of relatively compact Siegel disks come in many flavours.

1. Jordan curve without a critical point (Ghys, Herman)
2. Smooth (Pérez Marco, Buff-Chéritat, Ávila)
3. Jordan curve with a critical point (Douady, Herman, Świątek)
4. Jordan with any kind of regularity (Buff-Chéritat)
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1. and 3. thanks to the Ghys *surgery procedure*. (see further slides)

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## *Acknowledgements*

These works have been further extended by Shishikura, Petersen, Zakeri, Zhang Gaofei, Roesch, Graczyk, Jones and several other authors.

Works by Buff and Chéritat used Yoccoz renormalization.

Using other kinds of renormalization, there are works by Yamplosky, Shishikura, Inou, Cheraghi and several other authors. New developments with Dzimitri Dudko.

## *Relatively compact Siegel disk with a critical point on the boundary*

*Theorem (Graczyk, Świątek 2003)*

*A relatively compact Siegel disk with bounded type rotation number necessarily has a critical point on its boundary.*

The proof uses the normalized Schwarzian derivative of the conjugacy from the rotation to  $f$ , area estimates, and properties of univalent functions.

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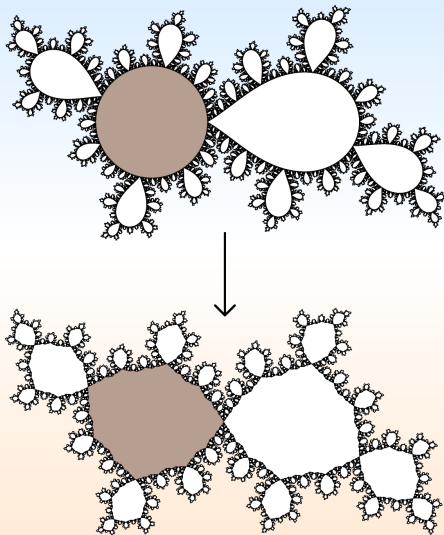
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*Theorem (Douady, Ghys, Herman, Świątek late 1980's)*

*If  $\theta \in \mathbb{R} - \mathbb{Q}$  has bounded type then  $z \mapsto e^{2\pi i\theta} z + z^2$  has a Siegel disk whose boundary is a quasicircle going through the critical point.*

# The Douady-Ghys surgery

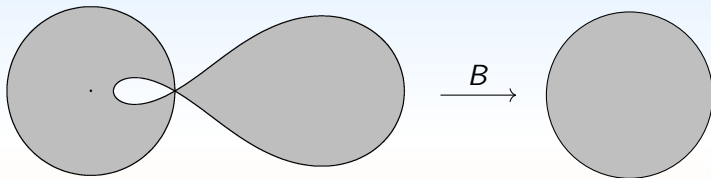


## *Description*

A specific Blaschke *fraction*:  $B(z) = z^2 \frac{1 - \bar{a}z}{z - a}$ ,  $a = 1/3$ .

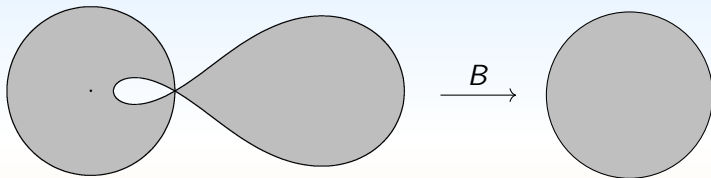
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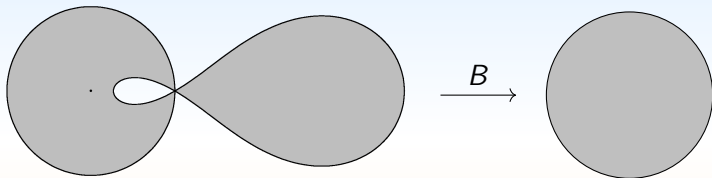
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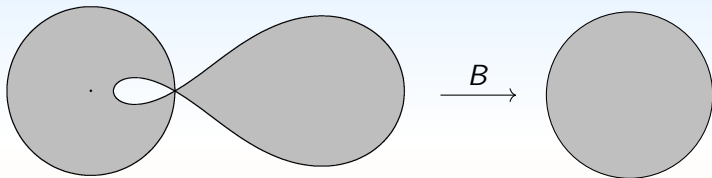
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For all  $\theta \in \mathbb{R} - \mathbb{Q}$ , there exists a unique  $\rho \in \partial\mathbb{D}$  such that  $\rho.B|_{S^1}$  has rotation number  $\theta \bmod \mathbb{Z}$ .

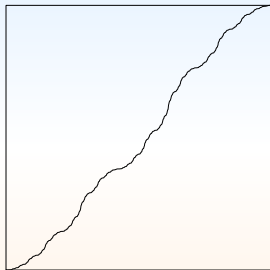
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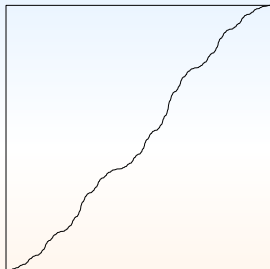
(Yoccoz) There exists a *Poincaré conjugacy*  $h$  to the rotation  $x \mapsto x + \theta$  on  $\mathbb{R}/\mathbb{Z}$ .



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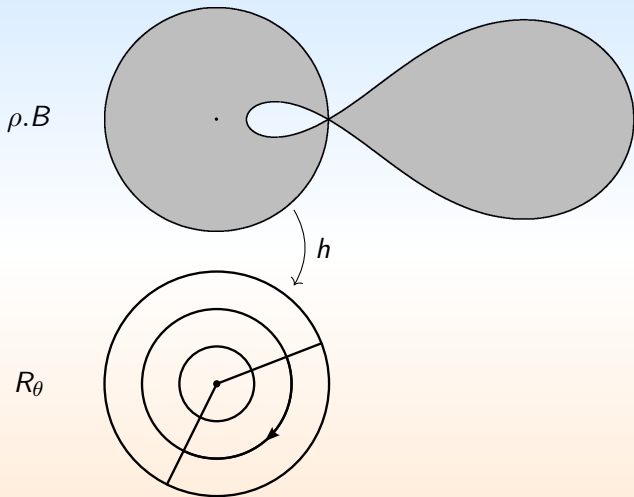
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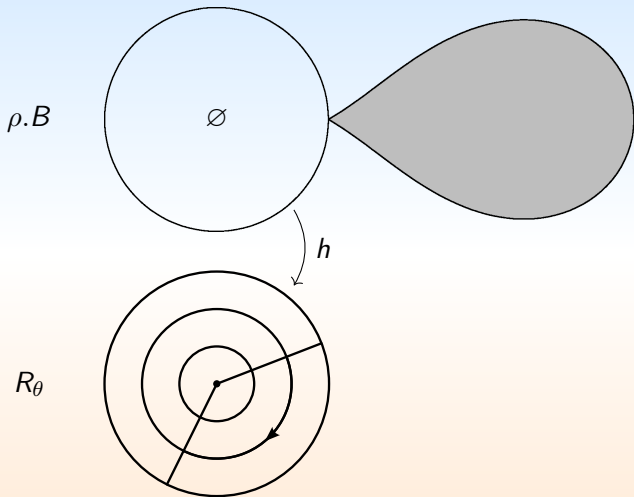
*Theorem (Herman, Świątek)*

*If  $\theta$  has bounded type, then  $h$  is quasimetric.*

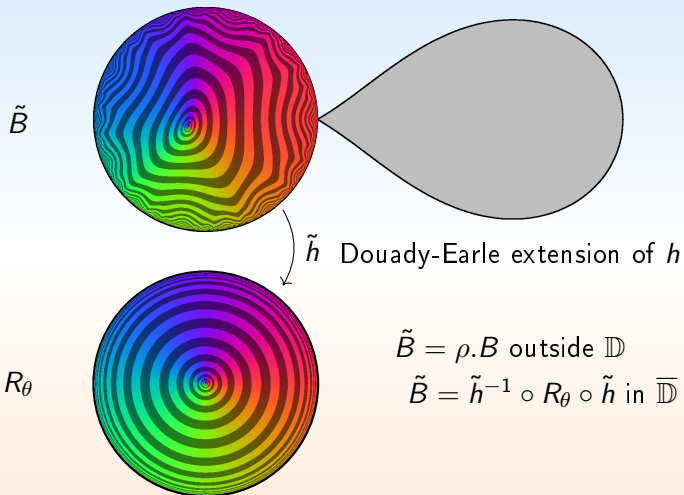
(Ahlfors, Beurling, Douady, Earle) Quasisymmetric orientation preserving maps  $h : \partial\mathbb{D} \rightarrow \partial\mathbb{D}$  have quasiconformal extensions  $\tilde{h}$  to  $\mathbb{D}$ .



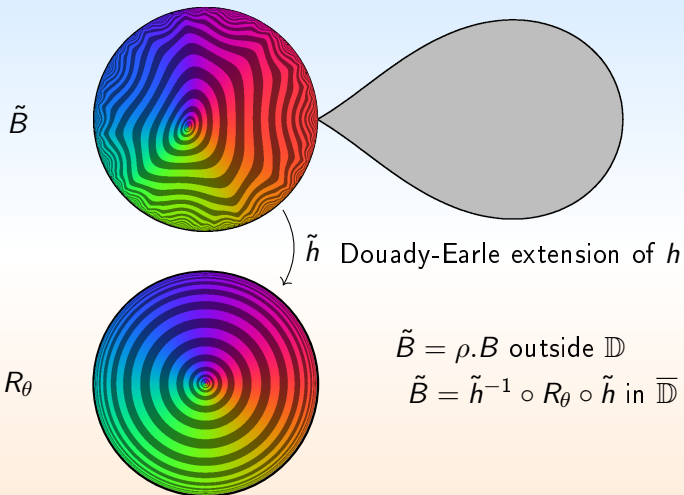
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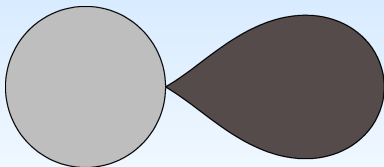
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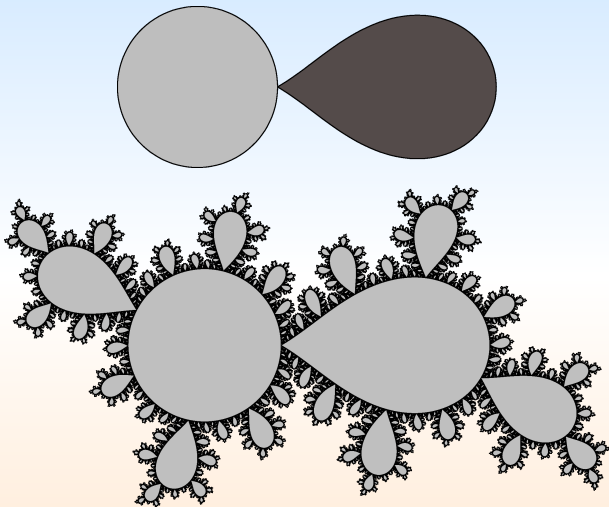
Invariant Beltrami form  $\mu = \tilde{h}^*(0)$  in  $\mathbb{D}$ .



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pulled-back by  $\tilde{B}$  into a  $\tilde{B}$ -invariant  $\mu$  on  $\mathbb{C}$ .

By Morrey's theorem, there exists a quasiconformal homeomorphism  $S : \mathbb{C} \rightarrow \mathbb{C}$  straightening  $\mu$ , i.e.  $S_*\mu = 0$ .

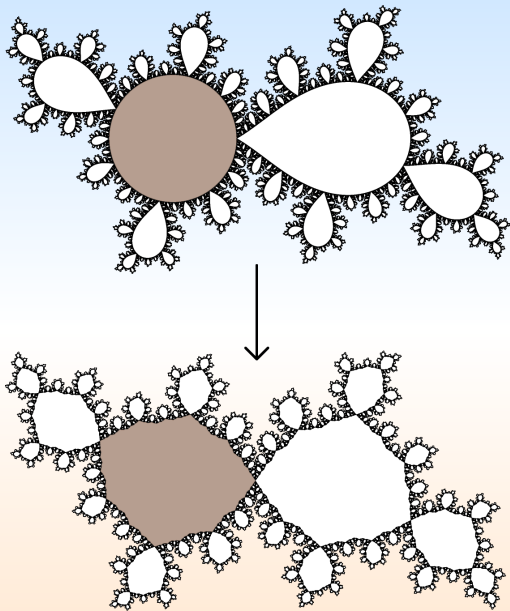
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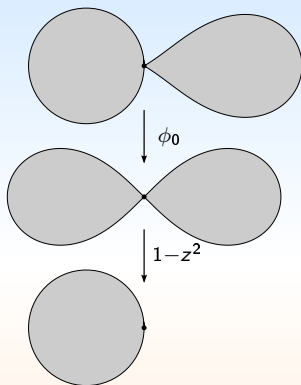
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It can be nothing but  $z \mapsto e^{2i\pi\theta} z + z^2$ .



In the Douady-Ghys Surgery,  $\tilde{B}$  is cover-equivalent to  $z \mapsto z^2$  (eq.  $1 - z^2$ ):

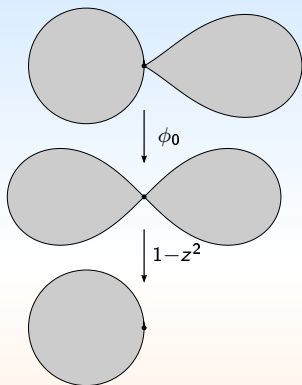
$$\begin{array}{ccc}
 \mathbb{C} & \xrightarrow{\phi_0} & \mathbb{C} \\
 & \searrow & \swarrow \\
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$B$  can be recovered from the restriction to  $\mathbb{C} - \mathbb{D}$  of  $(z \mapsto 1 - z^2) \circ \phi_0$  by *Schwarz reflection*.



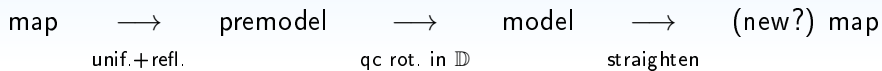
## *Developments*

In my thesis (2001): I found a trick to adapt this to horn maps of quadratic polynomials.

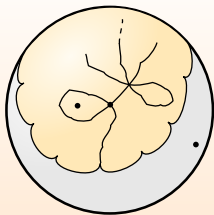
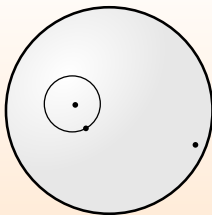
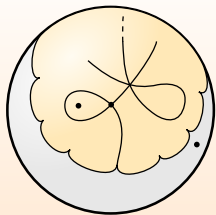
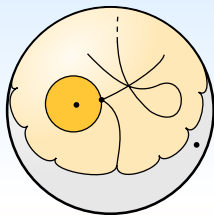
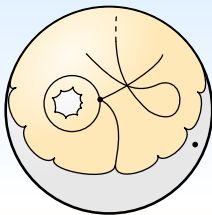
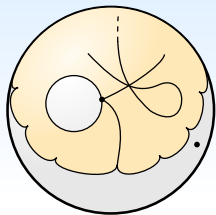
In “Ghys-like model providing trick for Lavaurs and simple entire maps” [Chéritat 2006]: the trick works for a sub class of the set of entire functions with at most two singular values (determined thanks to a discussion with Dierk Schleicher in 2002). Uncountably many examples.

In 2006 I had the honor of having A. Epstein present my proof in Douady’s 70th birthday’s conference. At the end of his lecture, Adam noted that we could simplify the argument. As a consequence: generalization of the above two results to a much bigger class of finite type maps with few singular values.

# *Philosophy of the method*



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## The main theorem, again

### Theorem (Chéritat, Epstein)

Let  $f : U \rightarrow \widehat{\mathbb{C}}$  be a finite-type map with  $U \subset \widehat{\mathbb{C}}$  and

- a.  $\text{Sing } f \subset \{a, b, c\}$  for some distinct  $a, b, c \in \widehat{\mathbb{C}}$
- b.  $a \in U$ , is fixed with multiplier  $e^{2\pi i\theta}$  and  $\theta$  has bounded type
- c. either  $c \in \widehat{\mathbb{C}} - U$  or  $f(c) = c$ .

Consider an injective path  $\gamma$  from  $a$  to  $b$  in  $\widehat{\mathbb{C}} - \{a, b, c\}$ . Consider its unique lift  $\tilde{\gamma}$  by  $f$  starting from  $a$ . Then either

1.  $\tilde{\gamma}$  ends on a non-critical point in  $U$  and then  $U = \widehat{\mathbb{C}}$  and  $f$  is a homography,
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## Note

In the theorem: the injective path  $\gamma$  goes from  $a$  to  $b$  in  $\widehat{\mathbb{C}} - \{a, b, c\}$  and  $\tilde{\gamma}$  is its unique lift by  $f$  starting from  $a$ .

The endpoint of  $\tilde{\gamma}$  in the Alexandrov compactification of  $U$  (a point of  $U$  or the leave-every-compact-point) is independent of the choice of  $\gamma$ .

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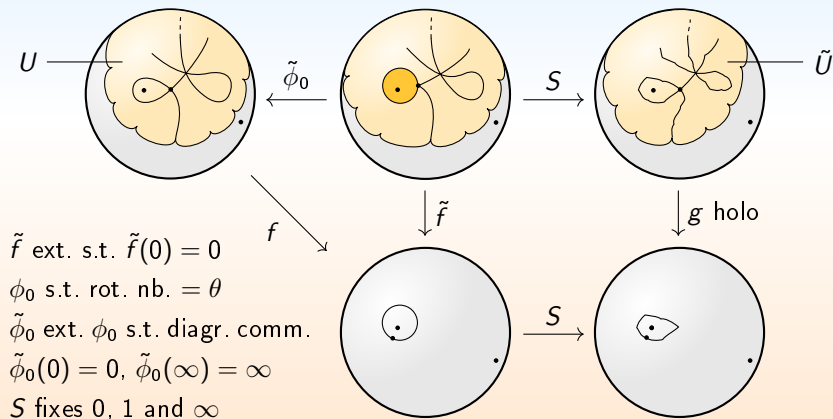
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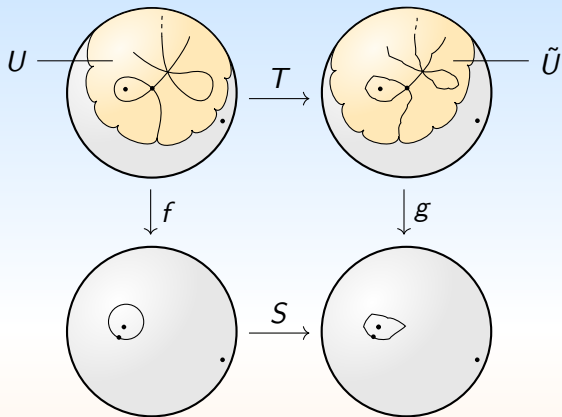
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## Case 2.

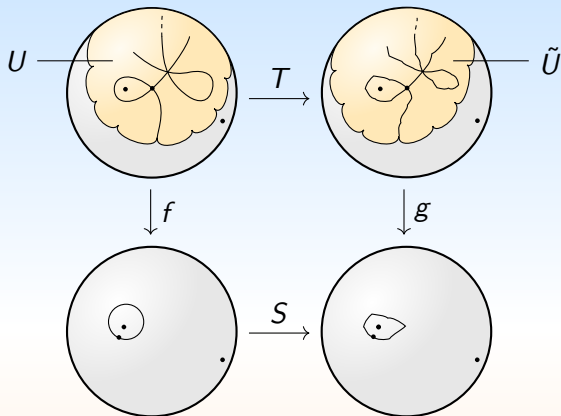
Perform the surgery and get a model map  $\tilde{f}$  with an invariant Beltrami form  $\tilde{\mu}$  defined on  $\hat{\mathbb{C}}$ . Let  $\tilde{U} = \text{dom } \tilde{f}$ .

Objective: prove that the domain of the resulting map is the same, i.e.  $\tilde{U} = U$ .





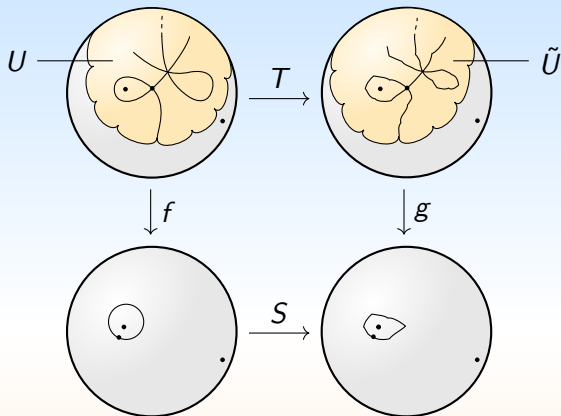
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$\bar{\partial}S = 0$  on  $\hat{\mathbb{C}} - \tilde{U}$  and  $\tilde{\phi}_0$  is conformal outside  $\mathbb{D}$  hence

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$$\bar{\partial}T = 0 \text{ on } \hat{\mathbb{C}} - U$$

$$T(0) = 0, T(\infty) = \infty$$

$T$  is a q.c. homeo of  $\hat{\mathbb{C}}$ , its restriction from  $U$  to  $\tilde{U}$  is a lift of  $S$ .

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Let  $\mu_t = t.\mu$ . Straighten  $\mu_t$  by the unique q.c. isomorphism  $S_t$  of  $\hat{\mathbb{C}}$  fixing  $a$ ,  $b$  and  $c$ . Note that  $t \mapsto S_t$  is an isotopy and  $S_0 = \text{id}$ . Lift this isotopy starting from  $t = 1$  down to  $t = 0$  as a family of homeomorphisms  $T_t : U \rightarrow \tilde{U}$  starting from  $T_1 = T$ :

$$\begin{array}{ccc} U & \xrightarrow{T_t} & \tilde{U} \\ f \downarrow & & \downarrow g \\ \hat{\mathbb{C}} & \xrightarrow{S_t} & \hat{\mathbb{C}} \end{array}$$

Note that  $t \mapsto T_t$  is the restriction to  $t \in [0, 1]$  of a holomorphic motion. Also,  $T_t$  maps  $f^{-1}\{a, b, c\}$  to  $g^{-1}\{a, b, c\}$  and is immobile on this set. In particular  $T_t(0) = 0$ .



Specializing to  $t = 0$ , using  $S_0 = \text{id}$ :

$$\begin{array}{ccc} U & \xrightarrow{T_0} & \tilde{U} \\ f \downarrow & & \downarrow g \\ \hat{C} & \xrightarrow{\text{id}} & \hat{C} \end{array}$$

in particular  $T_0$  is holomorphic.

$$\begin{array}{ccccc}
 \tilde{U} & \xrightarrow{T^{-1}} & U & \xrightarrow{T_t} & \tilde{U} \\
 \downarrow g & & \downarrow f & & \downarrow g \\
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The family  $t \mapsto T_t \circ T^{-1} : \tilde{U} \rightarrow \tilde{U}$  is also a holomorphic motion and is the identity of  $\tilde{U}$  for  $t = 1$ .

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The map  $\tilde{T}_0$  is quasiconformal, is holomorphic in  $U$ . Moreover on  $\hat{\mathbb{C}} - U$  we have  $T_0 = T$  and  $\bar{\partial}T = 0$ . Rickman's lemma implies  $\tilde{T}_0$  is holomorphic everywhere, and hence  $\tilde{T}_0$  is a homography.

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- Other cases: not covered by our theorem.

Finally:  $\tilde{T}_0 = \text{id}$  i.e.  $g = f$ .

Q.E.D.

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Case 3.  $\tilde{\gamma}$  eventually leaves every compact subset of  $U$ .

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One can then construct an injective path from  $a$  to  $b$  whose lift ends on  $p$ .

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By an injective path construction as above,  $b \notin \partial\Delta$ . As a consequence, all critical points map to  $c$  in one iteration. The point  $c$  cannot be critical otherwise its basin would separate  $c$  from  $U$ . Similarly, it cannot be attracting or Siegel.



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We then use the technique of Graczyk and Świątek, using an area form that is infinite and cylindrical  $\omega = \left( \sum_k \frac{1}{|z-p_k|^2} \right) dx \wedge dy$  in place of the Lebesgue measure.

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The proof of finiteness splits in three case, according to the fixed point  $c$  being parabolic, repelling or Cremer.

Thanks

